

MEMS Switchable Interdigital Coplanar Filter

Erwan Fourn, Arnaud Pothier, Corinne Champeaux, Pascal Tristant, Alain Catherinot, Pierre Blondy, *Member, IEEE*, Gérard Tanné, Eric Rius, Christian Person, and Fabrice Huret

Abstract—This paper presents a tunable interdigital coplanar filter with tapped-line feedings. Microelectromechanical systems capacitors are used as a high contrast capacitive switch between a quarter-wavelength resonator and an open-ended stub to perform the frequency shift. A two-pole tunable filter with a 13% relative bandwidth has been designed, fabricated, and measured. The center frequency can be switched from 18.5 to 21.05 GHz with low return losses (less than 15 dB) and low insertion losses (3.5 dB).

Index Terms—Filters, microelectromechanical systems (MEMS).

I. INTRODUCTION

THE recurring demand for ever more flexible and sophisticated, compact, and low-power wireless systems has generated the need for technological solutions that can dramatically reduce manufacturing cost, size, weight, improve performance, and battery life [1]. Tunable systems are, therefore, receiving an increasing attention since this is an elegant way to meet a great part of these requirements. For instance, tunable systems avoid the repetition of front-end radio structures for multistandard applications, or they can add flexibility to present analog front-ends in a wide range of applications.

In existing systems, tuning is obtained using lumped components such as MESFETs, varactors, or p-i-n diodes in conjunction with passive components [2], [3]. Filters are among the components that did receive much attention since they are very sensitive to loss. Semiconductor-based tunable filters have important insertion-loss level, low isolation, electrical power consumption, and overall degraded performances compared with fixed frequency filters. Moreover, loss compensation circuits usually add noise to the filter and can cause instability of the component.

Recent developments in microelectromechanical systems (MEMS) have made possible the design of tunable filters and are expected to bring a new interest to these components [4]–[7]. Indeed, MEMS offer low losses, near-zero power consumption, and they can be monolithically integrated with conventional microwave integrated circuit (MIC) passive fabrication techniques.

This paper presents an original tunable interdigital coplanar waveguide (CPW) filter with tapped feed line input/output.

Manuscript received April 6, 2002.

E. Fourn, G. Tanné, E. Rius, C. Person, and F. Huret are with Laboratoire d'Electronique et Systèmes de Télécommunications, Université de Bretagne Occidentale, Brest BP809 29285, France.

A. Pothier and P. Blondy are with the Research Institute in Optical and Microwave Communications, University of Limoges, Limoges 87000, France.

C. Champeaux, P. Tristant, and A. Catherinot are with the Material Science Laboratory, University of Limoges, Limoges 87000, France.

Digital Object Identifier 10.1109/TMTT.2002.806517

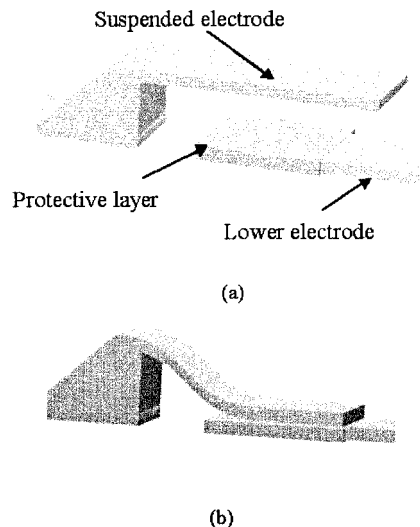


Fig. 1. Drawing of a MEMS capacitive cantilever switch. (a) In the off state. (b) In the on state.

Tuning is achieved using surface micromachined cantilever variable capacitors with electrostatic actuation, which will be described below.

The CPW filter design and structure will be presented next, as well as specific aspects of MEMS microwave simulation. Finally, measured performances will be presented and discussed.

II. MEMS DESIGN AND FABRICATION

A. MEMS Description

A MEMS series capacitive cantilever-type switch is shown in Fig. 1.

This component presents a movable metallic membrane suspended above a lower electrode, forming a capacitor between these two conductors. In the up state the capacitance C_{off} is small, since air is separating the two electrodes [see Fig. 1(a)]. The varactor value is controlled by the distance between the two electrodes. By applying a dc-bias voltage, the upper membrane is deflected by the electrostatic force down to the opposite electrode: the gap is reduced and the capacitance is increased. If the applied voltage becomes higher than the cantilever pull-in voltage, the suspended membrane snaps down to the lower electrode [see Fig. 1(b)]. The resulting capacitance increases sharply, its value (C_{on}) depends on surface roughness of the upper membrane and the dielectric constant of the insulating layer.

There are two ways to use these cantilevers as variable capacitors: either the capacitance is changed using the “stable” region (before pull-in) or the cantilever is used as a switch, with high

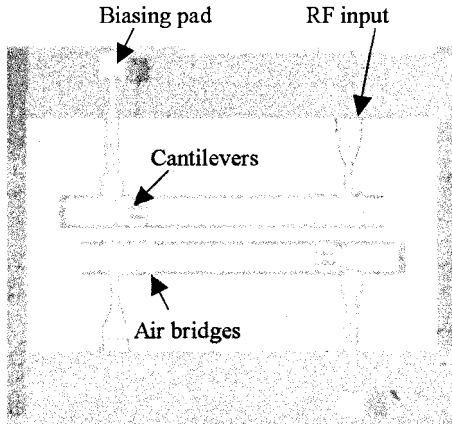


Fig. 2. Microphotograph of the fabricated filter.

ratio between the up and down states. We did use this approach in this study since it presents several advantages. Indeed, the capacitance can be switched between two well-defined values, it is insensitive to vibration or noise, and the tuning range is only limited by the on-to-off capacitance ratio of the MEMS cantilever. Such MEMS variable capacitors can be decoupled by an appropriate fixed-series capacitor (or open-ended line section) that will allow to control capacitance variation and to minimize its impact on loss [8]. Since MEMS have large on-to-off ratios, a decoupled MEMS varactor can still achieve relatively large frequency variations with low losses. At last, digital-like implementations have been demonstrated [6] and allow fine-tuning performance.

B. Fabrication

MEMS fabrication starts with the deposition of a 300-A/9000-A Ti/Au evaporated layer, on a 1-mm-thick fused silica substrate. An evaporated layer is chosen since it offers a very smooth surface that improves the capacitive contact quality. This Ti/Au layer is patterned and an SiCr resistive layer is evaporated and patterned.

This layer will be used for the integration of biasing resistors. Next, a 1500-A-thick alumina layer is deposited using a pulsed laser deposition system and lifted off. Using the appropriate deposition parameters, very smooth dielectric layers can be obtained at room temperature with this technique. This insulating layer prevents direct contact between the first and second metal layers (Fig. 1) and can also be used as an insulating layer in metal-insulator-metal (MIM) capacitors.

Next, a 2.8- μm -thick sacrificial layer is spun and patterned onto the wafer. A second layer of 50-A/1000-A Ti/Au layer is evaporated and gold is electroplated up to 3 μm .

After this last metal layer has been patterned, the sacrificial layer is removed and the component is released, using a CO₂ critical point drying system. A microphotograph of the fabricated filter is shown Fig. 2.

In this process, the typical ratio between the capacitance in the up state and the down state is around 20, the capacitance increases from 40 fF to approximately 800 fF for a cantilever capacitor, as used below. Typically measured pull-down voltages are between 60 and 70 V because of the initial height and short length of the cantilevers (Fig. 3).

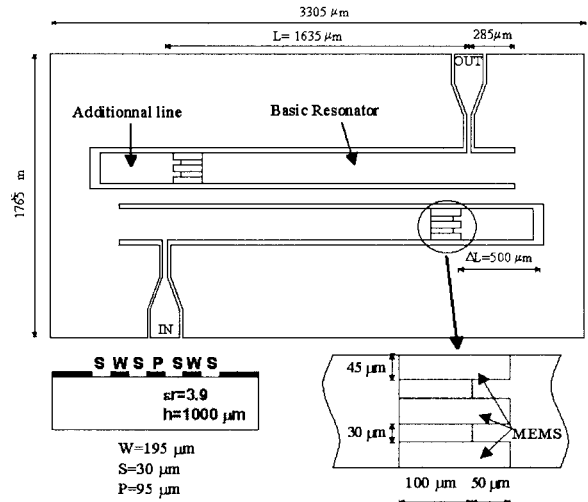


Fig. 3. Interdigital coplanar second-order filter. Connection between the two lines is performed with three MEMS cantilevers. The required mode filter bridges are not shown in this figure.

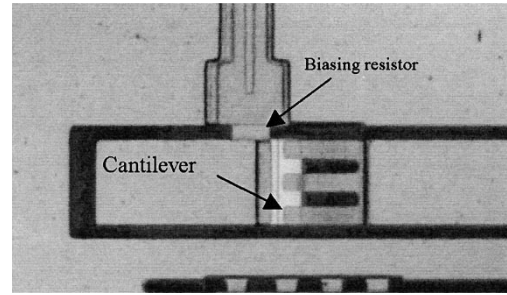


Fig. 4. Close-up view of the MEMS area.

III. TUNABLE FILTER DESIGN AND STRUCTURE

Generally, the response of a passive filter is optimal at a given frequency. However, tuning the center frequency implies a variation of one or more of the filter component parameters (such as electrical lengths) that can affect electrical characteristics (matching, insertion losses, bandwidth, etc.). To limit the effects of tuning on filter performances, its structure has to be carefully selected.

Interdigital filter structures exhibit a good tolerance concerning center frequency variations [9]. A CPW implementation is shown Figs. 2 and 3. This two-pole filter is made of two quarter-wavelength CPW resonators, terminated by MEMS cantilevers. These cantilevers are used as variable series capacitors between the resonator and the additional open-ended short transmission-line section.

When the cantilevers are moved down, the center frequency shifts since the capacitive part of the resonators is changed. The cantilevers are actuated by applying a voltage between the additional line section and the resonators. A high-value SiCr resistor is used to decouple RF from the biasing network. This network passes under the CPW ground plane to minimize the perturbation on the circuit. (Fig. 4)

It can be seen in Fig. 2 that air bridges have been added to this structure since the tapped feed-line excitation scheme makes the structure highly nonsymmetrical. Electromagnetic simulations have shown that currents are very high on these bridges and

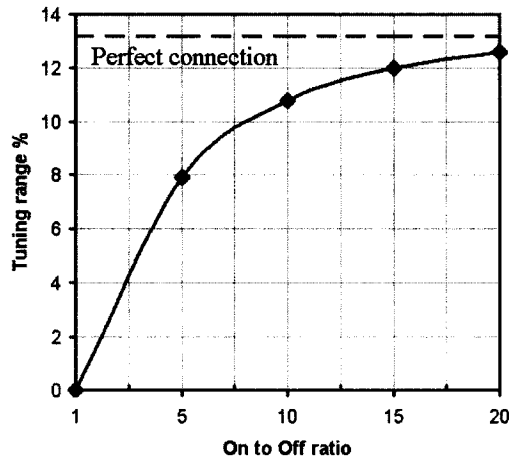


Fig. 5. Computed frequency variation versus on-to-off ratios of the MEMS varactors for the geometry Fig. 3. The performance of a perfect series connection is shown via the dashed line.

that their number have a significant impact on losses and center frequency.

The two-pole filter was designed using Agilent Momentum in the strip simulation mode. Our objective was to be as close as possible to a Chebyshev filter with 0.1-dB ripple for both states. Therefore, the filter has been first optimized for an IF of 20 GHz with 10% fractional bandwidth to minimize variation and mismatch between the two center frequencies resulting from changes in the capacitance values. The resonator length is $L = 1635 \mu\text{m}$ and the additional coplanar line section length is $\Delta L = 500 \mu\text{m}$.

Each resonator is ended by three MEMS cantilevers, $150\text{-}\mu\text{m}$ long, suspended $50 \mu\text{m}$ over the extra resonator section. We use three cantilevers in parallel for two reasons. First, this type of fork-like design eases the release process of the structures. The second reason is that, in this design, only the tip of the cantilever is used as a capacitor, that is to say, each cantilever has a small capacitance value. In the end, the three fingers in parallel forms a capacitor with a low series resistance regarding the capacitance and reduce loss in the circuit.

MEMS were taken into account in the full-wave simulations using vias and strip metallization. The on and off states were simulated using two different heights in the substrate definition so that both air bridges and cantilevers can be taken into account. In order to check the influence of on-to-off capacitance ratio on the filter tuning performances, we did simulate the frequency shift versus on-to-off ratios using Momentum. The results are reported in Fig. 5. It can be seen that an on-to-off ratio higher than 20 approaches the frequency shift obtained by direct connection between the resonator and line sections (in that case, 13.2%).

IV. MEASUREMENTS

Measurements were done using an HP 8510C network analyzer and a cascade probe station. Calibration was done using a short-open-line-thru (SOLT) procedure.

Simulated and measured S -parameters are presented in Fig. 6. Good agreement is shown between simulated and

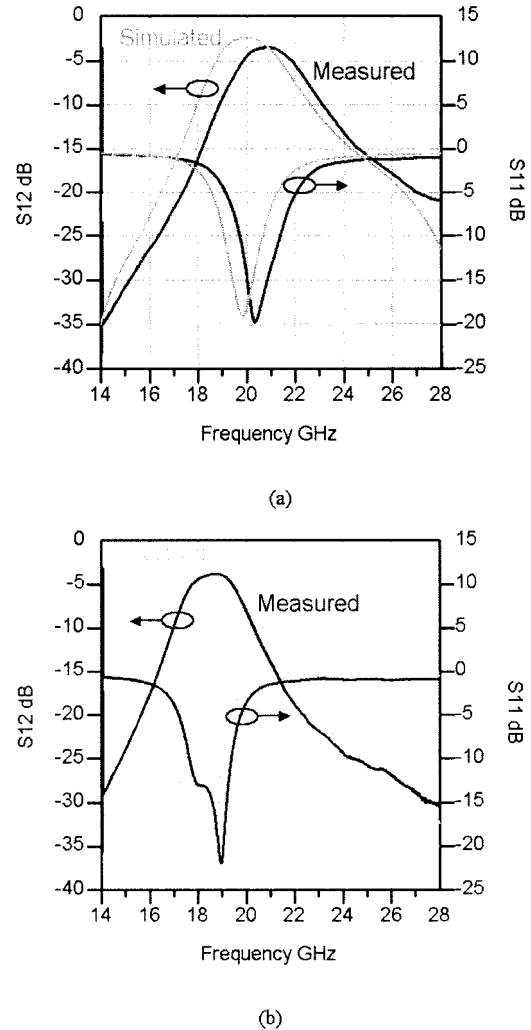


Fig. 6. Simulated and measured responses of the tunable filter. (a) Switches up. (b) Switches down.

measured data. In the simulations, the metallic losses are taken into account assuming gold conductivity of $3.9 \times 10^7 \text{ S} \cdot \text{m}^{-1}$, but radiation losses also have a significant contribution. Therefore, measured data exhibit higher losses than simulation. Still, the level of losses is low and out-of-band rejection is relatively large. Also, there is a frequency shift between simulations and measurements and this is due to the difficulty to estimate properly the influence of air bridges at the input of the structure since their shape is not perfectly flat, as it is assumed in the simulations.

In the up state [see Fig. 6(a)], the measured center frequency is 21.05 GHz. The 3-dB fractional bandwidth is around 14%, which is equivalent to an absolute bandwidth of 2.9 GHz, and measured insertion losses are 3.5 dB. Return losses are better than 15 dB.

When the switches are down, the center frequency is shifted down to 18.5 GHz [see Fig. 6(b)]. The 3-dB fractional bandwidth is increased to 13%, which is equivalent to an absolute bandwidth of 2.4 GHz. Measured insertion losses are 3.8 dB, while return losses are approximately 12 dB. This small increase in insertion-loss level can be explained by this change in return loss.

The measured tuning range is 2.55 GHz, at a center frequency of 19.77 GHz, which is equivalent to 12.8% relative frequency shift. This frequency shift corresponds to an on-to-off ratio of approximately 20, which is in good agreement with the plot shown in Fig. 5. and typical on-to-off variations obtained on this process.

The simulations were done using this ratio, and it can be seen that the frequency shift is also well predicted.

V. CONCLUSIONS

A second-order millimeter-wave tunable bandpass filter has been designed, fabricated, and measured. MEMS elements are monolithically implemented on the filter resonators and they are used as on-off switches between each resonator and an additional line. This filter has demonstrated that low-insertion losses, good rejection, and large tuning range can be achieved using MEMS fabrication techniques. This kind of component will be useful for the integration of multiband low-noise and low-power receivers.

REFERENCES

- [1] G. M. Rebeiz and J. B. Muldavin, "RF MEMS switches and switch circuits," *IEEE Microwave Mag.*, pp. 59–71, Dec. 2001.
- [2] A. R. Brown and G. M. Rebeiz, "A varactor tuned RF filter," *IEEE Trans. Microwave Theory Tech.*, vol. 48, pp. 1157–1160, July 2000.
- [3] G. Tanné, E. Rius, F. Mahé, S. Toutain, F. Biron, L. Billonnet, B. Jarry, and P. Guillon, "Improvement in losses and size of frequency tunable coplanar filter structures using MMIC negative resistance chips for multistandard mobile communication systems," in *IEEE MTT-S Int. Microwave Symp. Dig.*, Boston, MA, pp. 1165–1168.
- [4] H. K. J. Park, Y. Kim, and Y. Kwon, "Millimeter-wave micromachined tunable filter," in *IEEE MTT-S Int. Microwave Symp. Dig.*, Los Angeles, CA, June 1999, pp. 1235–1238.
- [5] D. Peroulis, S. Pacheco, K. Sarabandi, and L. P. B. Katehi, "Tunable lumped components with applications to reconfigurable MEMS filters," in *IEEE MTT-S Int. Microwave Symp. Dig.*, Phoenix, AZ, June 2001, pp. 341–344.
- [6] J. Brank, J. Yao, M. Eberly, A. Malczewski, K. Varian, and C. Goldsmith, "RF MEMS-based tunable filters," *Int J. RF Microwave Computer-Aided Eng.*, vol. 11, pp. 276–284, 2001.
- [7] D. Mercier, P. Blondy, and P. Guillon, "Distributed MEMS tunable filters," in *Proc. Eur. Microwave Conf.*, London, U.K., Sept. 2001, pp. 9–12.
- [8] L. Dussopt and G. M. Rebeiz, "A very low phase noise SiGe VCO at X-band frequencies," in *Silicon Monolithic Integrated Circuits in RF Syst. Tropical Meeting*, Ann Arbor, MI, Sept. 2001, pp. 219–221.
- [9] F. Mahé, G. Tanné, E. Rius, C. Person, S. Toutain, F. Biron, L. Billonnet, B. Jarry, and P. Guillon, "Electronically switchable dual-band microstrip interdigital bandpass filter for multistandard communication applications," in *Proc. 30th Eur. Microwave Conf.*, Paris, Sept. 2000, pp. 94–97.

Erwan Fourn was born in Brest, France, in 1977. He received the M.S. degree in electronics from the University of Brest, Brest, France, in 2001, and is currently working toward the Ph.D. at Université de Bretagne Occidentale (UBO), Brest, France.

He is currently with the Laboratoire d'Electronique et Systèmes de Télécommunication (LEST), UBO. His research activities principally concern the design of tunable devices using MEMS components for microwave and millimeter-wave applications.

Arnaud Pothier was born in Périgueux, France, in December 1978. He received the M.S. degree in electrical engineering from the University of Limoges, Limoges, France, in 2001, and is currently working toward the Ph.D. degree at the University of Limoges.

He is currently with the Research Institute in Optical and Microwave Communications (IRCOM), University of Limoges. His main research interests are MEMS applications and implementation in passive circuits.

Corinne Champeaux received the Ph.D. degree in material science from the University of Limoges, Limoges, France, in 1992.

Since 1992, she has been an Assistant Professor with the Faculty of Science, University of Limoges. She currently conducts research with the Material Science Laboratory (SPCTS), University of Limoges. Her main research interests are laser-matter interactions and pulsed-laser thin-film deposition techniques. She is involved with the development and the fabrication of MEMS components through the elaboration of new materials and fabrication process.

Pascal Tristant received the Ph.D. degree in material science from the University of Limoges, Limoges, France, in 1993.

Since 1993, he has been an Assistant Professor with the Institute of Technology, University of Limoges. He conducts research on plasma chemistry and thin-film elaboration techniques with the Material Science Laboratory (SPCTS), University of Limoges, where he is focused on PECVD deposition techniques and thin-film characterization.

Alain Catherinot received the Doctorat d'état degree the University of Limoges, Limoges, France, in 1983.

He is currently a Professor with the Material Science Department, University of Limoges, Limoges, France, where he conducts research on pulsed laser deposition techniques with the material Science Laboratory (SPCTS). His research interests include plasma-matter interactions, pulsed laser deposition techniques, and the elaboration and the characterization of thin films. He is also involved in MEMS fabrication using innovative deposition techniques and novel materials.

Pierre Blondy (M'00) received the Ph.D. degree in electrical engineering from the University of Limoges, Limoges, France, in 1998.

Since 1998, he has been with the National Center for Scientific Research (CNRS), working with the Research Institute in Optical and Microwave Communications (IRCOM), University of Limoges. He conducts research on new topologies and fabrication techniques for microwave passive components. His current research interests are tunable filters and millimeter-wave filter integration using electromagnetic (EM)-based design and MEMS/micromachining fabrication techniques.

Gérard Tanné received the Ph.D. degree in electronics from the University of Brest, Brest, France, in 1994.

Since 1995, he has been an Assistant Professor with the Electronic Department, Université de Bretagne Occidentale (UBO), Brest, France. He currently conducts research with the Laboratoire d'Electronique et Systèmes de Télécommunication (LEST). His research activities are in the area of tunable microwave and RF systems. He studies different planar tunable microwave devices (i.e., filters, phase shifters, etc.) applied principally to mobile communication systems.

Eric Rius received the Ph. D. degree in electronics from the University of Brest, Brest, France, in 1994.

Since 1995, he has been an Assistant Professor with the Electronic Department, Université de Bretagne Occidentale (UBO), Brest, France, where he currently conducts research with the Laboratoire d'Electronique et Systèmes de Télécommunication (LEST). His research activities principally concern the design of filters and associated RF modules for microwave and millimeter-wave applications.

Christian Person received the Ph.D. degree in electronics from the University of Brest, Brest, France in 1994.

Since 1991, he has been an Assistant Professor with the Microwave Department, Ecole Nationale Supérieure des Télécommunications de Bretagne, Brest, France, where he currently conducts research with the Laboratoire d'Electronique et Systèmes de Télécommunication (LEST). His research concerns the development of new technologies for millimeter-wave applications and systems. His research activities are especially focused on hybrid three-dimensional (3-D) integration techniques for implementing optimized passives functions (filters, antennas, couplers) and improving reliability and interconnection facilities with active monolithic microwave integrated circuits (MMICs). He is also involved in the design of reconfigurable structures by means of MEMs or active hybrid circuits for smart antennas and software radio RF equipments.

Fabrice Huret received the Ph.D. degree in electronics from the University of Lille, Villeneuve d'Ascq, France, in 1991.

In 1992, he became an Assistant Professor with the Electromagnetic and Circuits Group, Institut d'Electronique et de Microélectronique du Nord (IEMN), University of Lille. In 2000, he became a Professor with the University of Bretagne Occidentale, Brest, France. He is currently the Group Leader of the Materials Engineering and Microwave Devices Group, Laboratoire d'Electronique et Systèmes de Télécommunications (LEST). He is involved in the modelization of ultra-large-scale integrated (ULSI) circuits interconnects, new substrate materials and their effects on microwave circuits, and microwave circuits for telecommunication systems.